

12

**EUROPEAN PATENT APPLICATION**

21 Application number: 86202188.8

51 Int. Cl.: **G 06 K 19/08, G 06 K 7/14,**  
**G 06 K 19/06, G 06 K 7/06**

22 Date of filing: 01.12.86

30 Priority: 11.12.85 NL 8503410

71 Applicant: **N.V. Philips' Gloeilampenfabrieken,**  
**Groenewoudseweg 1, NL-5621 BA Eindhoven (NL)**

43 Date of publication of application: 29.07.87  
Bulletin 87/31

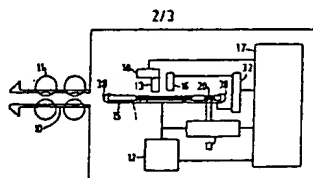
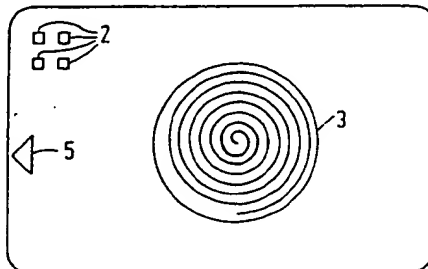
72 Inventor: **Ophelj, Willem Gerard, Int. Octrooibureau B.V.**  
**Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL)**  
Inventor: **Huijser, Arie, Int. Octrooibureau B.V. Prof.**  
**Holstlaan 6, NL-5656 AA Eindhoven (NL)**  
Inventor: **Thomas, Gary Evan, Int. Octrooibureau B.V.**  
**Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL)**

84 Designated Contracting States: **AT BE DE ES FR GB IT**  
**NL SE**

74 Representative: **Strijland, Wilfred et al,**  
**INTERNATIONAAL OCTROOIBUREAU B.V. Prof.**  
**Holstlaan 6, NL-5656 AA Eindhoven (NL)**

54 Device for the transfer of data between a card and a data processing unit.

57 The card (1) used in the device for the transfer of data between a data processing unit (17) and the card (1) includes a rotationally symmetrically optical memory (3). The data is stored in an at least substantially circular track of the optical memory (3). The transfer of data between a scanning means (13) and the optical memory (3) is realized by rotating at least the optical memory about its axis of rotational symmetry. The card (1) also includes a microelectronic circuit (20) which can also communicate with the data processing unit via transfer means (19).



2/3

0 230 069

PHN 11.580

1

30.10.1986

Device for the transfer of data between a card and a data processing unit.

The invention relates to a device for the transfer of data between a card and a data processing unit, which card includes a microelectronic circuit and first transfer means connected thereto, which device includes second transfer means which are connected to the data processing unit and which serve for communication with the first transfer means, the body of the card accommodating a memory which can at least be read, which device also includes a scanning means which is connected to the data processing unit for scanning data track-wise stored in the memory, and positioning means for receiving the card in order to bring it in a predetermined position with respect to the scanning means.

A device of this kind is known from French Patent Application No. 81 08901 (publication No. 2 505 523). The card used in the known device includes a memory which is formed by a strip of magnetic material accommodated in the body of the card. The data is line-wise stored in the strip in successive tracks. The data transfer between the memory and the data processing unit is realized by line-wise scanning the strip of magnetic material after the card and the scanning means have been positioned with respect to one another. The card also includes a microelectronic circuit which is connected to the first transfer means, for example electrical connection terminals, which are suitable for communication with the second means in order to transfer data between said circuit and the data processing unit.

It is a drawback of the known device that during the line-wise scanning of the strip of magnetic material either the scanning means or the card has to be continuously moved to and from along successive lines in order to realize the data transfer. In addition to the frequent transport of the scanning means or the card, which itself is comparatively time-consuming, for each of the successive lines to be scanned the scanning means and the card must again be positioned with respect to the relevant line. This operation itself is also time-consuming and, moreover, necessitates the use of

0 230 069

PHN 11.580

2

30.10.1986

precise, special positioning means. Those time-consuming operations have an adverse effect on the data transfer rate.

It is the object of the invention to provide a device for the transfer of data between a card and a data processing unit in which the data transfer rate is higher and in which the positioning of the scanning means and the card with respect to successive lines is simpler and faster.

To achieve this, a device in accordance with the invention is characterized in that the memory is an optical memory in which the data is stored in an at least substantially circular track, the positioning means including a rotary retaining means for driving the optical memory in a rotary fashion about an axis which extends substantially perpendicularly to the axis of the memory and which coincides substantially with the centre of the circular shape.

Because the data is stored in an at least substantially circular track, the data transfer between the memory and the data processing unit is realized by rotation of the optical memory by means of a rotary retaining means. The successive tracks of the memory can thus be continuously scanned, so that the data transfer will be substantially faster. As a result of the continuity of the tracks, positioning with respect to successive tracks can be dispensed with. The microelectronic circuit includes, for example a memory (RAM) and a data processor. The addition of the microelectronic circuits increases the range of applications of the card, because the card can thus be used as an active electronic element of the device.

Furthermore, the combination of the microelectronic circuit and the optical memory enables the transfer of data between both elements of the card as well as the processing of data from the optical memory by said circuit. The latter can be used, for example for improved and safer encoding of the data stored in the card.

The use of an optical memory accommodated in the body of a card is known per se from international Patent Application PCT No. WO82/02969. The card used therein also includes, like the known card, an optical memory which is formed by a strip so that it has the same drawbacks as the known card.

A first preferred embodiment of a device in accordance with the invention is characterized in that the optical memory and the

0 230 069

PHN 11.580

3

30.10.1986

card can be driven in a rotary fashion as one unit, second transfer means being connected to the rotary retaining means for the communication between the first and the second transfer means during rotation. As a result, the data transfer from the memory and from the  
5 microelectronic circuit can take place simultaneously.

Preferably, the first and the second transfer means both include an optical transmitter/receiver element. Optical communication is thus possible between the data processing unit and the microelectronic circuit. Optical communication is advantageous,  
10 because mechanical contacts can then be dispensed with.

In a further embodiment of a device in accordance with the invention, the first and the second transfer means preferably both include capacitive or inductive transfer means. Capacitive or inductive transfer means also render mechanical contact superfluous.

15 A preferred embodiment of a device in accordance with the invention is characterized in that the positioning means include a first detection system for detecting a deviation between the axis of the rotary retaining means and said centre of the circular shape, said first detection system including at least one radiation source which  
20 emits a substantially parallel beam to said circular track, and also at least two radiation-sensitive detection elements for converting radiation originating from the surface of the optical memory into an indication of said deviation, the detection elements being arranged in the path of a first-order diffraction beam which is formed from the  
25 beam by the circular track and which is deflected perpendicularly to the local track direction, the difference between the output signals of the detection elements forming a detection signal representing said indication, the positioning means being connected to the rotary retaining means in order to supply the detection signal thereto, the  
30 rotary retaining means including means for displacing the card with respect to the scanning means under the control of a detection signal received. Positioning can thus be realized by utilizing the circular track provided in the optical memory. Moreover, the use of such positioning means does not require the presence of a central hole in  
35 the optical memory, so that the optical storage capacity is higher. In addition, the manufacture of an optical memory and the card with the optical memory is simpler when the optical memory does not include a

central hole.

The positioning means preferably include a second detection system, the first and the second detection system being suitable for executing said positioning operation in a first and a second direction, respectively, which are substantially mutually perpendicular. The memory card can thus be positioned in two mutually perpendicular directions.

An alternative positioning method is characterized in that the optical memory is provided with a positioning mark, the positioning means being suitable for positioning, by way of detection of the positioning mark, the card in a predetermined position with respect to the scanning means.

Preferably, the rotary retaining means includes a turntable on which there are provided clamping means for clamping the card. The rotary retaining means can thus be simply constructed.

A third preferred embodiment of a device in accordance with the invention is characterized in that the optical memory is provided with a hole whose centre coincides substantially with said centre of the circular shape, the rotary retaining means including a shaft which fits in the hole of the optical memory. This embodiment enables simple positioning of an optical memory provided with a central hole and the scanning means with respect to one another.

A fourth preferred embodiment of a device in accordance with the invention is characterized in that the device includes a cover plate which is arranged on transport means which serve to position the cover plate against a surface of the optical memory before the positioning of the scanning means, the device furthermore including a spray nozzle for spraying a quantity of volatile liquid onto said surface before the positioning of the cover plate. The liquid applied to the optical memory by means of the spray nozzle fills any scratches in the optical memory and the cover plate ensures that the liquid cannot be spun off the surface of the optical memory during rotation.

A first preferred embodiment of a card for use in combination with a device in accordance with the invention is characterized in that the optical memory is substantially rotationally symmetrical, the data being stored in at least substantially circular

track. A rotationally symmetrical optical memory is excellently suitable for accommodating a spiral or concentric track pattern.

Preferably, the card includes a power supply source for powering the microelectronic circuit. Connection points for powering  
5 this circuit can then be dispensed with.

Preferably, the power supply source includes a photosensitive cell which is arranged on the surface of the card and which serves to convert incident light into electric energy. Powering can thus be simply realized.

10 Preferably, the card includes a modulatable light source which is connected to the power supply source and said circuit in order to realize the communication between the first and the second transfer means. This enables optical data transfer between the first and the second transfer means.

15 A further preferred embodiment of a card is characterized in that the optical memory is detachably accommodated in the body of the card. Removal of the optical memory from the card renders the card unsuitable for further use, the card thus being protected.

20 Preferably, the optical memory includes a data layer which is accommodated on a substrate between two protective layers. The data layer is thus protected against damage, for example, scratches.

Preferably, a first protective layer is made of  
25 plastics foil, a second protective layer being made of reinforced glass or plastics with a silicon layer. A high-quality protective layer is thus obtained.

The diameter of the optical memory preferably amounts from 2 cm to 5 cm. The memory thus has ample capacity and can,  
30 moreover, be embedded in a card having standard dimensions.

The invention will be described in detail hereinafter with reference to the drawing; therein:

Figure 1a shows a first embodiment of a card in accordance with the invention;

35 Figure 1b is a cross-sectional view of the card shown in Figure 1a;

Figure 2 is a cross-sectional view of a device for the

transfer of data from and to a card as shown in Figure 1(a + b);

Figure 3 shows a second embodiment of a card in accordance with the invention;

Figure 4 is a cross-sectional view of a device for the transfer of data from and to a card as shown in Figure 3;

Figure 5a shows an embodiment of a positioning unit;

Figure 5b shows the path followed by the light originating from a light source of the positioning unit;

Figure 6a is a cross-sectional view of a modified device in accordance with the invention;

Figure 6b is a plan view of a detail of the modified device shown in Figure 6a.

Figure 1a shows a first embodiment of a card in accordance with the invention. The card 1 is preferably made of plastics and includes electrical connection points 2 for realizing an electrical connection between a microelectronic circuit accommodated inside the card and external transfer means. The arrow 5 denotes the direction in which the card is to be introduced into a transfer device. The card furthermore includes a disc-shaped optical memory 3 wherefrom data can be read and/or wherein data can be written. The optical device is manufactured using a technology which is analogous to that used for the manufacture of optical discs such as, for example, Compact Discs (CD). In the centre of the optical memory disc there is provided a circular hole 4. The optical memory is arranged in the card in a permanently fixed or detachable manner, for example, by snapping in and out. The optical memory disc is completely or at least partly recessed in the card and is structurally integral therewith.

In the optical memory disc data is (to be) stored in digital form in an at least substantially circular track as known, for example, for CD discs or other known optical memory discs (VLP, DOR) (see, for example, the book "Principles of Optical Disc Systems", by G. Bouwhuis et al, published by Adam Hilger Ltd., Bristol and Boston, 1985). The pitch of the successive tracks of the optical memory disc preferably equals that used for CD, that is to say, 1.6µm. The advantage of this choice consists in that the tracking and correction techniques used for Compact Discs can then also be used. Moreover, this track pitch is also attractive in view of the susceptibility to

O 230 069

PHN 11.580

7

30.10.1986

scratching of the optical material. Furthermore, for the same reasons it is advantageous to use the same dimensions as used for the CD for the spot size of the scanning member. An attractive dimension for the optical memory disc is a diameter of between 2 and 5 cm, for example 3  
5 cm. A diameter of 5 cm can still be accommodated within the standard dimensions of a memory card (8.6 x 5.4 cm). When use is made of a diameter of 3 cm, the optical memory will have a capacity of at least 500 Mbits. This high storage capacity inter alia enables the storage of protective means in the memory which require a comparatively large  
10 amount of storage capacity, for example, a colour picture of the owner, the owner's voice in encoded form, or a finger print of the owner.

An optical memory in which user information has not yet been stored in preferably provided with servo tracks during the  
15 manufacture of the memory, for example, concentric tracks or spiral tracks. During the writing of user data, the write spot of the scanning means then accurately follows the servo track. Further details of this operation are described in said book "Principles of Optical Disc Systems", Chapter 5 (Mastering). It is also possible to  
20 divide the tracks into sectors during manufacture and to provide each sector with a synchronization zone (heading).

The electrical connection points 2 are connected to circuit 20 accommodated inside the card. This circuit includes, for example, a RAM or an EEPROM and a data processor and is used, for  
25 example, for the temporary storage of erasable data or for the storage of a PIN code.

A card which includes a combination of an optical memory disc and a microelectronic circuit offers a number of advantages over the known memory cards. For example, the code used for  
30 the encoded writing of the data into the optical memory can be stated in the memory of the electronic components. Thus, a different code can be used for each series of cards, so that it is not necessary to use a fixed code for all cards. In the case of a transaction involving such a card, the transfer means or the data processor could thus fetch the  
35 code from the circuit 20 before starting a read or write operation in the optical memory. The data stored in the optical memory is thus better protected.



O 230 069

PHN 11.580

8

30.10.1986

The high capacity of the optical memory may mean that the memory will be divided into, for example a number of segments which themselves are subdivided into a number of pages having a fixed byte length or not. This subdivision into segments and pages  
5 necessitates the use of index tables for the addressing of the data in the optical memory. When a circuit 20 is used, the index tables can be stored in the memory of the circuit 20 and the circuit can be provided with means for translating a virtual address into a physical address. The data stored in the optical memory is thus more efficiently and  
10 more safely accessed.

A further advantage of a combination of an optical memory and a microelectronic circuit on the same card consists in that the PIN code, for example can be written into the optical memory in an interleaved manner and that only the circuit 20 is capable of reading  
15 this PIN code, so that the protection of the card is improved once more. Cryptographic treatment of the data is also feasible, the encoding key then being present in the circuit 20.

Figure 1b is a cross-sectional view at an increased scale of the card shown in Figure 1a. The card preferably has a  
20 thickness of 0.7 mm (standard dimension). The optical memory preferably has a thickness of 0.5 mm and is composed of several layers. The data layer 23, for example, a tellurium alloy, is sandwiched between a first protective layer 24 and a substrate (22) and has a thickness of approximately 10µm. A second protective layer  
25 21 is provided on the substrate. The first protective layer 24 may have a small thickness because, due to the embedding of the optical memory in the card, the card itself forms a protective layer for the lowery side of the optical memory. The first protective layer is made, for example, of a lacquer or layer of glue (photo-polymer layer). When  
30 the optical memory is rigidly connected to the memory card, the first protective layer will be glued to the memory card. The second protective layer 21 consists of, for example, a scratch-resistant silicon layer and must satisfy severe requirements as regards scratch resistance in order to prevent influencing of the data transfer. The  
35 substrate 22 of the present embodiment is composed of a thin plastics foil. A layer of reinforced glass is a suitable alternative for the combination of substrate and second protective layer. Considering the

O 230 069

PHN 11.580

9

30.10.1986

small thickness of the substrate, the brittleness of glass will not be problematic in this respect.

In the vertical clearance between the optical memory and the edge of the recess in the card there is provided a layer of glue when the optical memory is to be permanently anchored in the card. When the optical memory is not permanently anchored, the vertical edge is provided with a profile, for example, a snap-in/out profile.

Figure 2 is a cross-sectional view of a device for transferring data from and to a card as shown in the Figures 1(a + b). The card is transported into the device by means of a feed mechanism 10 which includes a number of transport wheels 11, after which it is positioned until the hole 4 provided in the optical memory is situated underneath the shaft of an electric motor 12. The card is subsequently sucked towards the motor by means of a suction device 14. To this end, the suction device receives a control signal from a data processing unit 17. The optical memory disc is slid onto the motor shaft by way of the hole provided for this purpose. The axis of rotational symmetry of the optical memory disc and the shaft motor will then be substantially coincident. Under the control of the data processing unit 17, the motor is subsequently activated so that the entire card is rotated by the motor shaft. The data is transported between the optical memory disc and the data processing unit 17 in the same way as in CD's, that is to say by means of the scanning means 13 which is mounted on a movable arm 18, and which successively scans the tracks.

The data transport between the circuit 20 and the data processing unit 17 takes place via the transfer means 19. The transfer means 19 in a first embodiment are formed, for example by an input/output interface which is rigidly arranged in the device and which includes a set of slide contacts which contact the connection points 2 of the card after the card has been positioned underneath the motor. Before the card is made to rotate, first the necessary data transfer between the circuit 20 and the data processing unit takes place. Therefore, via the slide contacts data is transferred from the card to the transfer means and vice versa. The transfer means 18 in a second embodiment are connected to the shaft of the motor (as

0 230 069

PHN 11.580

10

30.10.1986

denoted by a broken line) for rotation together with the card. During the positioning of the card, a connection is established between the transfer means and the connection points on the card. This connection can be realized either by means of slide contacts or in a capacitive or inductive manner. The data transport between the circuit 20 of the card and the transfer means then takes place during rotation of the card. When the connection between the circuit 20 and the transfer means requires the presence of a power supply source in the card, a possible solution consists in providing the card with a battery or photosensitive cells which convert incident light into electric energy. The connection between the circuit 20 and the transfer means could also be realized in an optical manner, for example by providing the card with a LED (including a power supply source).

The connection between the transfer means and the data processing unit is realized either during standstill of the transfer means, for example by means of slide contacts, or by an optical connection, or during rotation, for example in a capacitive or optical manner. Connections of this kind between a rotating and a stationary object are known from computer tomography, for example see EPA 0 149 280 or DOS 33 31 722.

It will be apparent that the device shown in Figure 2 is merely one embodiment and that other embodiments can also be used. For example, the card may be clamped in a set of clamps instead of being attracted by suction. Furthermore, it is alternatively possible to lift the optical memory out of the card and to rotate it alone by means of the shaft of the motor. Alternatively, the optical memory can be made to rotate in the card.

Figure 3 shows a second embodiment of a card in accordance with the invention. This embodiment deviates from the first embodiment in that the optical memory is not provided with a central hole. Corresponding components are denoted by the corresponding reference numerals of Figure 1. The spiral or concentric track structure now extends substantially from the centre as far as the edge of the disk.

Figure 4 is a cross-sectional view of a device for the transfer of data to and from a card as shown in Figure 3. Corresponding elements in Figure 4 are denoted by the reference

0 230 069

PHN 11.580

11

30.10.1986

numerals as used in Figure 2. A turntable 15 is connected to the motor 12 via the shaft. The turntable is suitable for accommodating and clamping a card supplied via the feed mechanism 10. The assembly formed by the turntable and the clamped card can be driven by the  
5 motor so that data is transported to and from the optical memory disc on the card by means of the scanning means 13.

The scanning means 13 is mounted, for example on a movable arm 18 as in a known CD player, so that it can be displaced along successive tracks across the surface of the optical memory. The  
10 scanning means includes, for example a semiconductor aluminium gallium arsenide (AlGaAs) laser which supplies a sufficient amount of energy for performing read as well as write operations. It will be apparent, however, that when it is merely necessary to read the card, the last power need only be sufficient for reading the optical memory. The  
15 transport of data to and from the optical memory discs, however, requires accurate positioning of the optical memory disc and the scanning means with respect to one another. For this purpose it is necessary to clamp the card accurately in a defined position. To this end, the turntable includes positioning means for moving the card on  
20 the turntable, for example, by control of the clamps 38. To this end, the clamps receive control signals from the data processing unit 17. The control signals are generated on the basis of positioning information which originates from a positioning unit 16 which is connected to an input/output interface 32 where to the clamps are also  
25 connected. The input/output interface is also connected to the data processing unit 17. The positioning unit utilizes a detection unit which cooperates with the track pattern provided on the optical memory disc.

Figure 5a is a diagrammatic plan view of an embodiment  
30 of a positioning unit which is arranged over the track pattern of the optical disc. The positioning unit 16 includes two light sources L1 and L2, for example two LEDs, each of which preferably emits a substantially parallel light beam, and also includes two detectors 25 and 26. Figure 5b shows the path followed by the light emitted by the  
35 LED L1. The light beam ( $i_1$ ,  $i_2$ ) emitted by the LED L1 is, for example incident at right angles on a number of tracks of the optical disc. Because the light spot of the LED L1 is large with respect to

0 230 069

PHN 11.580

12

30.10.1986

the track pitch which has a magnitude in the order of the wavelength of the light, the track pattern will behave as a grating which disperses the incident light. The first-order beams (or possibly beams of higher order) in the radial direction of the light ( $r_1$ ,  $r_2$ )

5 dispersed by the grating and originating from L1 are intercepted by the detector 25. Each of the detectors 25, 26 includes two detection elements, for example photodiodes (A, B and C, D, respectively).

Displacement of the memory disc perpendiculalry to the separating line between the two photodiodes of the same detector  
10 causes a shift of the direction of the tracks with respect to the detector at the area of the incident light, and hence a shift of the first-order dispersed light beams ( $r_1$ ,  $r_2$ ) incident on the photodiodes. Each of the photodiodes of the same detector picks up an amount of dispersed light and the difference in intensity between the  
15 two photodiode signals provides an error signal indication. The photodiodes of each detector are aligned with respect to the mechanical axis of rotation of the turntable and their respective light source in such a manner that the memory disc is suitably positioned when the error signal indication is 0. This is because the  
20 measured intensity difference between the photodiodes equals zero when an equal amount of dispersed light is incident on both photodiodes A and B of the detector 25.

The light source 21 and the detector 25 and the light source 22 and the detector 26 enable positioning in the x-direction  
25 and the y-direction, respectively. By moving the card in the y-direction, prior to the rotation operation, until the error signal indication given by the detector 25 is zero and by subsequently moving the card in the x-direction until the error signal indication given by the detector 26 is zero, the optical memory can be accurately  
30 positioned with respect to the scanning means which is arranged to be stationary with respect to the light sources L1 and L2.

The error signal indication given by the positioning unit is converted into control signals by the data processing unit in order to be applied to the clamps, via the input/output interface 32,  
35 so that the card is displaced and correct positioning is obtained.

A simpler embodiment of the positioning unit 16 includes only one light source and one detector which includes two

0 230 069

PHN 11.580

13

30.10.1986

photodiodes. Either these photodiodes are arranged as shown in Figure 5a, in which case positioning takes place in only one direction (positioning in the other direction is then provided, for example by the fixed position of the clamps) or the light source is centrally  
5 arranged between the photodiodes and it is checked whether both photodiodes receive an equal amount of dispersed light.

The use of the positioning unit shown in Figure 5a thus enables correct positioning of the optical memory disc, even when no central hole is provided therein. It will be apparent that other  
10 possibilities also exist for positioning the card and the scanning means with respect to one another. For example, use can be made of a reference cross provided on either the memory disc or the card.

In a further embodiment of a positioning unit, the light source and the detector are mounted on an eccentric. By rotation  
15 of the light source and the detector on the eccentric and by analysis of the first-order dispersed light incident on the detector, the data processing unit can collect information as regards the position of the optical memory, said information being subsequently translated into control information for the clamps.

20 Figure 6a is a cross-sectional view of a modified version of the device shown in Figure 4. Corresponding elements are denoted by the same reference numerals as used in Figure 4. The modified version is particularly suitable for suppressing disturbances occurring in the optical transmission between the scanning means and  
25 the optical disc due to scratches in the optical disc. This is because the occurrence of scratches in the surface of the optical disc cannot be precluded during the life of such a card. Such scratches disturb the read or write beam of the scanning means, thus disturbing the data transmission.

30 In order to eliminate this kind of disturbance, the device shown in Figure 6 includes a glass or plastics cover plate 37 which is displaceable by means of two rods 34, 36 (only one rod is shown in Figure 6a for the sake of clarity). Each of the rods is mounted on a transport means (35), for example a piston or a gearwheel  
35 mechanism. The transport means is connected to the data processing unit 17 via a first control unit 30.

Figure 6b is a plan view of a detail of the modified

0 230 069

PHN 11.580

14

30.10.1986

device shown in Figure 6a. The cover plate 37 is mounted on the rods 34, 36 which can be laterally displaced along the card. To this end, the turntable 5 is provided with two openings wherethrough the rods can be moved. The cover plate 37 is arranged over the side of the card 1 which carries the optical memory. The clamps 38 are suitable for clamping the card as well as the cover plate.

The device shown in Figure 6a also includes a spary nozzle 31 which is controlled by a second control unit 33 which is connected to the data processing unit 17, said spray nozzle serving to spray a small quantity of a volatile liquid, for example alcohol, onto the card. The spray nozzle 31 is arranged at the entrance of the device, directly behind the feed mechanism 10, so that the liquid can be applied immediately when the card is introduced into the device.

When the card is introduced into the device, it is transported to the turntable 15 by the transport wheels 11. As soon as the card enters the device, the data processing unit 17 applies a first control instruction to the second control unit 33 which translates the first control instruction into a first activation signal for the spray nozzle 31. Under the control of the first activation signal, a small quantity of alcohol is sprayed onto the memory disc after which the spray nozzle is slightly withdrawn so as not to interfere with the clamps of the turntable 15. Subsequently, the data processing unit 17 generates a second control instruction which is applied to the first control unit 30 which translates the second control instruction into a second activation signal. Under the control of the second activation signal, the rods 34 and 36 are activated in order to arrange the plate 37 on the card. When the plate bears on the card, the rods are moved away from the turntable so as not to interfere with the rotation of the card. After removal of the rods, the clamps 38 of the turntable are activated in order to clamp the card and the glass plate arranged thereon onto the turntable. When the assembly has been clamped and positioning completed, rotation may commence so that data transport can take place.

After data transport, the plate 37 is removed under the control of the data processing unit 17 and the first control unit 30. To this end, the rods are guided to the plate again in order to lift the plate. Subsequently, the card is removed from the turntable.

**0 230 069**

PHN 11.580

15

30.10.1986

During this removal operation it is also possible to remove any superfluous liquid from the card. This is realized, for example by means of an absorption unit 39 (for example, a suction nozzle or a holder with an absorbing cloth) which is controlled by means of the  
5 second control unit 33.

The use of a volatile liquid and the plate 37 offers the advantage that the liquid will fill any scratches in the optical disc. The plate ensures that the liquid remains on the disc during rotation of the card. Light diffracted by the plate and the liquid can  
10 be simply corrected for.

The invention is not restricted to cards which include only one optical disc. For example, it is possible to provide the card with two optical discs, one of which is also erasable.



1. A device for the transfer of data between a card and a data processing unit, which card includes a microelectronic circuit and first transfer means which are connected thereto, which device includes second transfer means which are connected to the data  
5 processing unit and which serve for communication with the first transfer means, the body of the card accommodating a memory which can at least be read, which device also includes a scanning means which is connected to the data processing unit for scanning data track-wise stored in the memory, and positioning means for receiving the card in  
10 order to bring it in a predetermined position with respect to the scanning means, characterized in that the memory is an optical memory in which the data is stored in an at least substantially circular track, the positioning means including a rotary retaining means for driving the optical memory in a rotary fashion about an axis which  
15 extends substantially perpendicularly to the axis of the memory and which coincides substantially with the centre of the circular shape.

2. A device as claimed in Claim 1, characterized in that the optical memory and the card can be driven in a rotary fashion as one unit, second transfer means being connected to the rotary  
20 retaining means for the communication between the first and the second transfer means during rotation.

3. A device as claimed in Claim 1 or 2, characterized in that the first and second transfer means both include an optical transmitter/receiver element.

25 4. A device as claimed in Claim 1 or 2, characterized in that the first and second transfer means both include capacitive transfer means.

5. A device as claimed in Claim 1 or 2, characterized in that the first and second transfer means both include inductive  
30 transfer means.

6. A device as claimed in any one of the Claims 1 to 5, characterized in that the positioning means include a first detection

system for detecting a deviation between the axis of the rotary retaining means and said centre of the circular shape, said first detection system including at least one radiation source which emits a substantially parallel beam to said circular track, and also at least  
5 two radiation-sensitive detection elements for converting radiation originating from the surface of the optical memory into an indication of said deviation, the detection elements being arranged in the path of a first-order diffraction beam which is formed from the beam by the circular track and which is deflected perpendicularly to the local  
10 track direction, the difference between the output signals of the detection elements forming a detection signal representing said indication, the positioning means being connected to the rotary retaining means in order to supply the detection signal thereto, the rotary retaining means including means for displacing the card with  
15 respect to the scanning means under the control of a detection signal received.

7. A device as claimed in Claim 6, characterized in that the positioning means also include a second detection system, the first and the second detection system being suitable for executing  
20 said positioning operation in a first and a second direction, respectively, which are substantially mutually perpendicular.

8. A device as claimed in any one of the Claims 1 to 5, characterized in that the optical memory is provided with a positioning mark, the positioning means being suitable for  
25 positioning, by way of detection of the positioning mark, the card in a predetermined position with respect to the scanning means.

9. A device as claimed in any one of the preceding Claims, characterized in that the rotary retaining means includes a turntable on which there are provided clamping means for clamping the card.

30 10. A device as claimed in any one of the preceding claims, characterized in that the optical memory is provided with a hole whose centre coincides substantially with said centre of the circular shape, the rotary retaining means including a shaft which fits in the hole of the optical memory.

35 11. A device as claimed in any one of the preceding Claims, characterized in that the device includes a cover plate which is arranged on transport means which serve to position the cover plate

0 230 069

PHN 11.580

18

30.10.1986

against a surface of the optical memory before the positioning of the scanning means.

12. A device as claimed in Claim 11, characterized in that the device also includes a spray nozzle for spraying a quantity of  
5 volatile liquid onto said surface before the positioning of the cover plate.

13. A card for use in a device as claimed in any one of the preceding Claims, characterized in that the optical memory is substantially rotationally symmetrical, the data being stored in an at  
10 least substantially circular track.

14. A card for use in a device as claimed in any one of the Claims 1, 2, 3, 4 or 5, characterized in that the card includes a power supply source for powering the microelectronic circuit.

15. A card as claimed in Claim 14, characterized in that  
15 the power supply source includes a photosensitive cell which is accommodated in the body of the card and which serves to convert indicent light into electric energy.

16. A card as claimed in Claim 14 or 15, characterized in that the card includes a modulatable light source which is connected  
20 to the power supply source and said circuit in order to realize the communication between the first and the second transfer means.

17. A card for use in a device as claimed in Claim 10, characterized in that the optical memory is provided with a hole whose centre coincides substantially with said centre of the circular  
25 shape.

18. A card as claimed in Claim 13, characterized in that the optical memory is rigidly anchored in the body of the card.

19. A card as claimed in Claim 13, characterized in that the optical memory is detachably accommodated in the body of the card.

30 20. A card as claimed in Claim 13, 18 or 19, characterized in that the optical memory includes a track structure which has been formed in advance.

21. A card as claimed in Claim 13, 18, 19 or 20, characterized in that the optical memory includes a data layer which  
35 is accommodated on a substrate between two protective layers.

22. A card as claimed in Claim 21, characterized in that a first protective layer is made of plastics foil, a second protective

**0 230 069**

PHN 11.580

19

30.10.1986

layer being made of reinforced glass or plastics with a silicon layer.

23. A card as claimed in any one of the Claims 13 to 22,  
characterized in that the diameter of the optical memory amounts to  
from 2 cm to 5 cm.

0 230 069

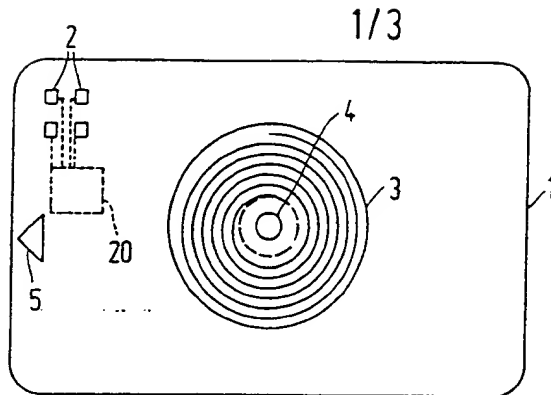


FIG. 1a

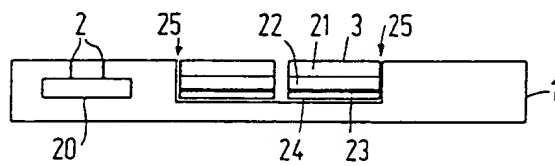


FIG. 1b

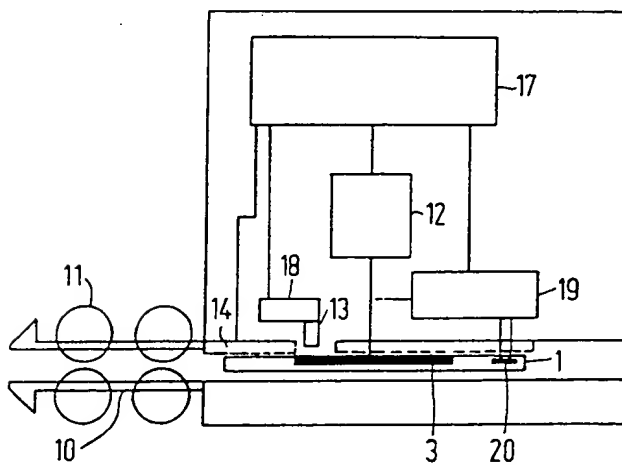


FIG. 2

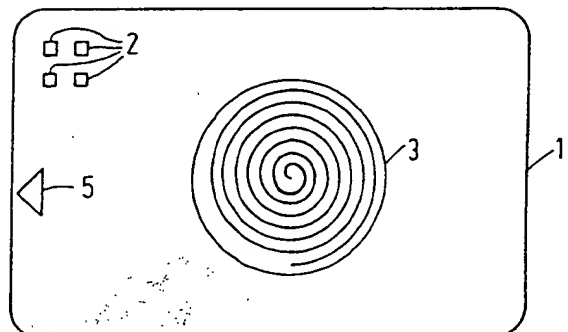


FIG. 3

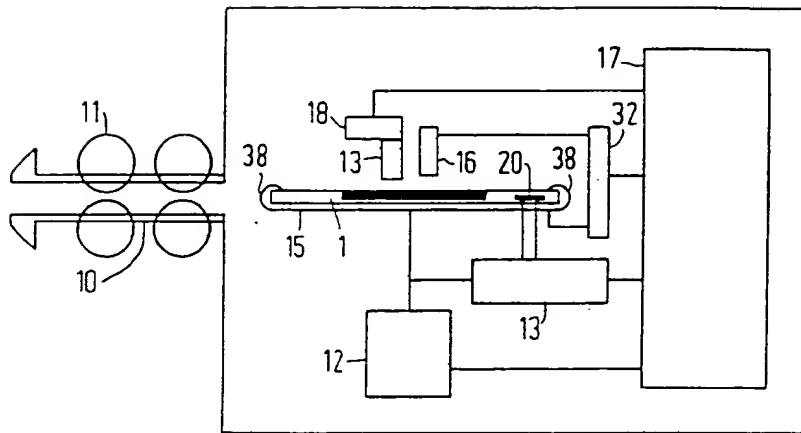


FIG. 4

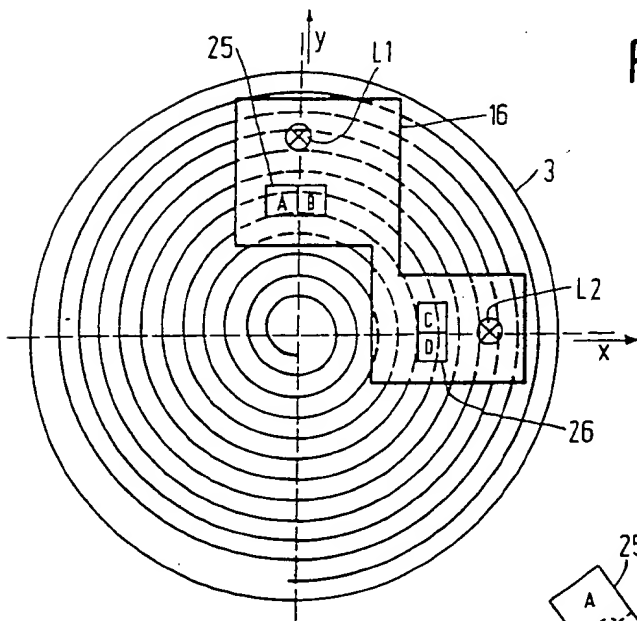


FIG. 5a

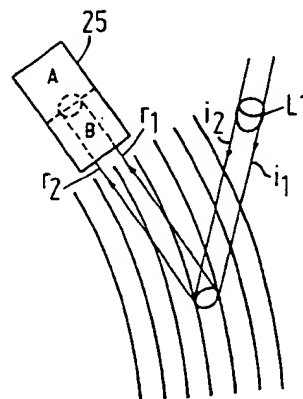


FIG. 5b

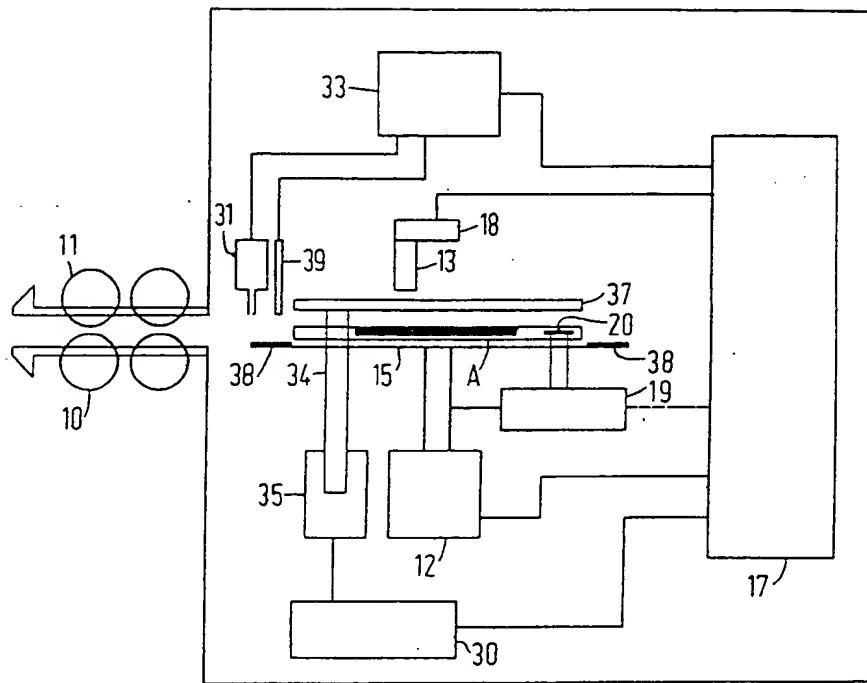


FIG. 6a

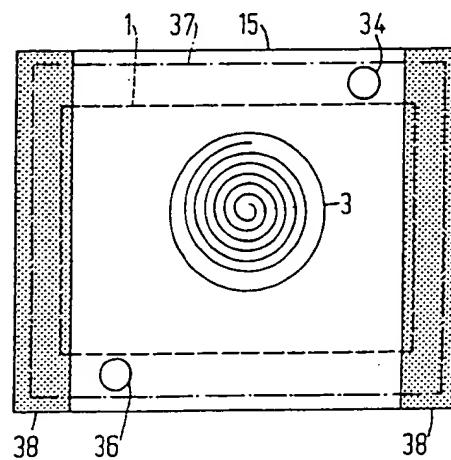


FIG. 6b



European Patent  
Office

# EUROPEAN SEARCH REPORT

0 230 069

EP 86 20 2188

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.4)
Y, D	FR-A-2 505 523 (WIDMER)  * Figures 1-3; page 4, lines 3-5; page 4, line 35 - page 5, line 12 *	1, 10 13	G 06 K 19/08 G 06 K 7/14 G 06 K 19/06 G 06 K 7/06
Y	--- GB-A-2 016 744 (PHILIPS' GLOEILAMPENFABRIEKEN) * Figures 1,7; page 7, line 14 - page 8, line 123 *	1, 10 13	
A, D	--- WO-A-8 202 968 (DREXLER TECHNOLOGY) * Whole document *	1	
A	--- US-A-4 098 510 (SUZUKI et al.) * Figures 1-3; column 2, line 42 - column 4, line 24 *	1, 9	TECHNICAL FIELDS SEARCHED (Int. Cl.4)
P, A	--- WO-A-8 605 620 (TELEFONAKTIEBOLAGET LM ERICSSON) * Page 2, line 18 - page 5, line 19; figures 1-4 *	1, 10 13	G 06 K G 11 B
A	--- NL-A-8 105 698 (ELI SOLOMON JACOBS) * Figure 1; page 3, line 33 - page 4, line 40 *  -----	1	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 17-03-1987	Examiner GYSEN L.A.D.
<b>CATEGORY OF CITED DOCUMENTS</b> X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document  T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons  A : member of the same patent family, corresponding document			



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**